

Export Documentary Collection Booking Islamic User Guide
Oracle Banking Trade Finance Process Management
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Oracle Banking Trade Finance Process Management - Export Documentary Collection Booking Islamic User guide
Oracle Financial Services Software Limited

Oracle Park
Off Western Express Highway
Goregaon (East)
Mumbai, Maharashtra 400 063
India
Worldwide Inquiries:
Phone: +91 22 6718 3000
Fax: +91 22 6718 3001
www.oracle.com/financialservices/

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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTfPM) User Guide. This guide provides an overview on the OBTfPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTfPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

Overview

OBTfPM is a Trade Finance Middle Office platform, which enables bank to streamline the trade finance operations. OBTfPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTfPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTfPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Export Documentary Collection Booking - Islamic

Under Export Documentary Collection, the Remitting Bank will receive the Export Documents from the Exporter and forward the same to the Collecting Bank for Acceptance/Payment by the Drawee.

The various stages involved for Islamic Export Documentary Collection Booking are:

- Receive and verify documents
- Input Export Collection details
- Upload of related mandatory and non-mandatory documents.
- Input Additional details of Export Collection - Data Enrichment stage
- Check for limit availability if applicable.
- Check balance availability for amount block
- Check for sanctions & KYC status
- Earmark limits/Create amount block for cash margin/charges if applicable
- Capture remarks for other users to check and act
- Hand off request to back office

The Islamic Export Collection Booking process flow is similar to that of conventional Export Collection Booking process flow.

This section contains the following topics:

Common Initiation Stage	Data Enrichment
Exceptions	Multi Level Approval
Reject Approval	

Common Initiation Stage

The user can initiate the new Export Documentary Collection request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.

2. Click Trade Finance > Initiate Task.

Oracle
Initiate Task

Tasks
Trade Finance
Administration
Bank Guarantee Advice
Bank Guarantee Issuance
Common Group Message
Enquiry
Export - Documentary Collection
Export - Documentary Credit
Import - Documentary Collection
Import - Documentary Credit
Initiate Task
Maintenance
Process Initiation
Re-Send Advice
Shipping Guarantee
Swift Processing
Trade 360 Degree

Registration

Process Name
Islamic Export Documentary Coll...

Branch *
PK2-Oracle Banking Trade Finan...

Proceed Clear

(DEFAULTTITY) Oracle Banking Trade Finan... May 3, 2021 ZARTAB02 subham@gmail.com

Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Branch	Select the branch.

Action Buttons

Use action buttons based on the description in the following table:

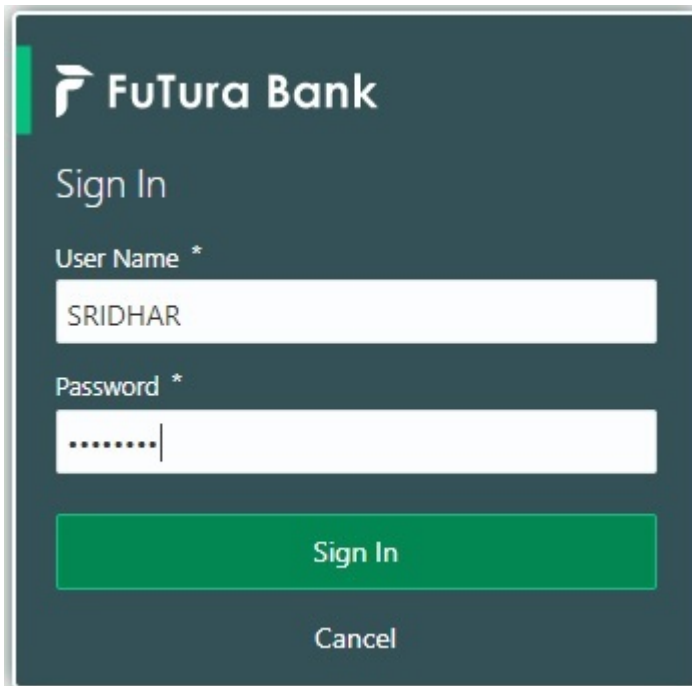
Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

Registration

The process starts from Registration stage, user can register request for an Islamic Export Collection Booking at the front desk.

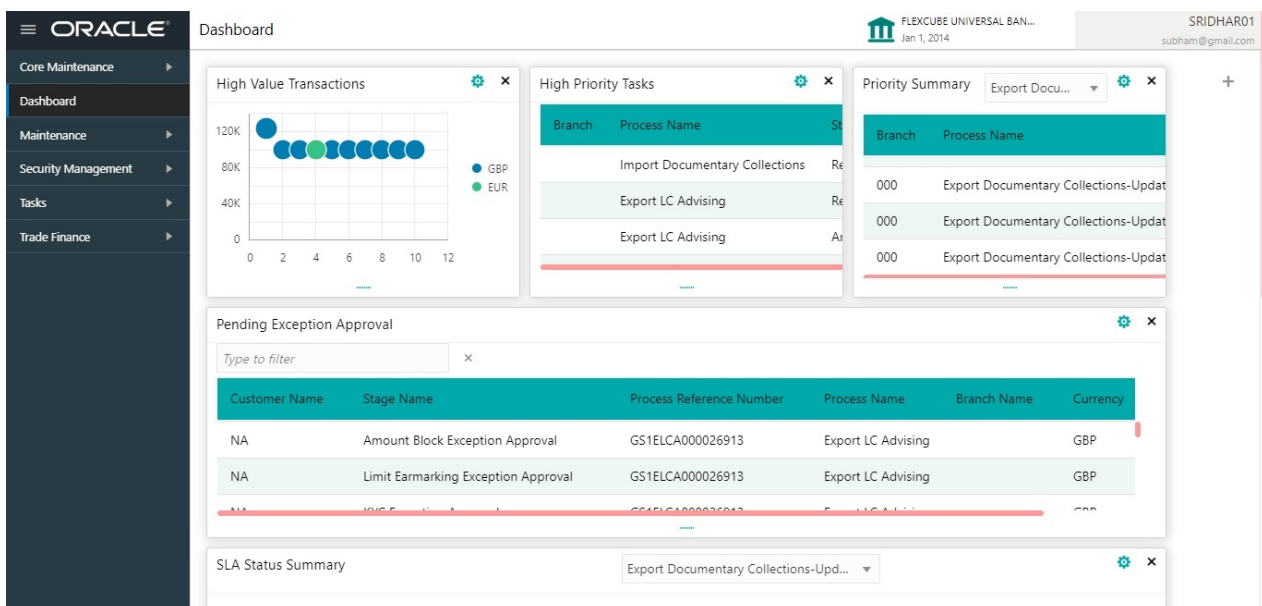
During Registration stage, user can capture the basic details of the transaction and upload related documents. On submit, the request will be available for an collection expert to handle the request in the next stage.

1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.



The image shows the login interface for FuTura Bank. It features a dark blue header with the bank's logo and name. Below the header, the text "Sign In" is displayed. There are two input fields: "User Name *" with the value "SRIDHAR" and "Password *" with masked characters. A green "Sign In" button and a "Cancel" link are at the bottom.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user profile.



The screenshot displays the Oracle dashboard for a user named SRIDHAR01. The dashboard includes several widgets: "High Value Transactions" (a bubble chart for GBP and EUR), "High Priority Tasks" (a table of tasks), "Priority Summary" (a table of summary items), "Pending Exception Approval" (a table of pending approvals), and "SLA Status Summary" (a table of SLA status). The left sidebar shows the Oracle logo and a navigation menu with options like Core Maintenance, Dashboard, Maintenance, Security Management, Tasks, and Trade Finance.

Branch	Process Name	Status
	Import Documentary Collections	Re
	Export LC Advising	Re
	Export LC Advising	At

Branch	Process Name
000	Export Documentary Collections-Update
000	Export Documentary Collections-Update
000	Export Documentary Collections-Update

Customer Name	Stage Name	Process Reference Number	Process Name	Branch Name	Currency
NA	Amount Block Exception Approval	GS1ELCA000026913	Export LC Advising		GBP
NA	Limit Earmarking Exception Approval	GS1ELCA000026913	Export LC Advising		GBP

3. Click **Trade Finance - Islamic > Export - Documentary Collection> Export Documentary Collection Booking - Islamic.**

The screenshot displays the Oracle dashboard interface. On the left is a dark navigation menu with the Oracle logo at the top. The menu items include: Core Maintenance, Dashboard, Machine Learning, Security Management, Task Management, Tasks, Trade Finance, Trade Finance - Islamic (expanded), Bank Guarantee Advise, Bank Guarantee Issuance, Export - Documentary Collection (expanded), and Export Documentary Collection Booking - Islamic (highlighted). The main dashboard area has a header with 'Dashboard', a user profile '(DEFAULTTENTITY)', and a notification '1'. Below the header, there are several widgets:

- Priority Summary:** A table with columns 'Branch', 'Process Name', 'Stage Name', and 'No of High Priority I'. It shows 'No data to display.' and a pagination bar for 'Page 1 (0 of 0 items)'.
- % Oversight Corrections:** A chart showing data filtered on 'All'.
- Model Inference Time:** A chart showing data filtered on 'All'.
- Model Tag Performance:** A chart showing 'No data to display'.
- Pending Exception Approval:** A chart showing '(0)' items.
- Draft Confirmation Pending:** A table with columns 'Process Reference Number' and 'Customer Id'. It shows 'No data to display.' and a pagination bar for 'Page 1 (0 of 0 items)'.

The registration stage has two sections Application Details and Collection Details. Let's look at the details of registration screens below:

Application Details

Export Documentary Collection Booking - Islamic

Documents Remarks Customer Instruction Common Group Messages

Application Details

Drawer *
000327

Branch
PK1-Oracle Banking Trade Finan...

Bill Amount *
USD \$120.00

Amount In Local Currency
GBP £47.34

Process Reference Number
PK1IEDB000071198

Customer Reference Number
3232

Priority
Medium

Submission Mode
Desk

Booking Date *
May 5, 2021

Collection Details

Documents Received
First

Tenor Type
Sight

Product Code *
EIFP

Product Description
OUTGOING DOCUMENTARY MULTI TE...

Operation Type
FOP

Stage
FINAL

Contract Reference Number
PK2EIFP211252501

Drawee

Collecting Bank
001186

Finance Amount

Limit verification required
☐

Customer Dispatch
☐

Hold Cancel Save & Close Submit

Provide the Basic Details based on the description in the following table:

Field	Description	Sample Values
Drawer	Provide the Drawer ID. Alternatively, user can search the Drawing reference number using LOV. In the LOV, user can search giving any combination details of party ID and the Party Name to fetch the drawing details. Based on the search result, select the applicable Drawer.  Note If the selected Drawer ID/party is blacklisted the system displays a warning message.	
Branch	Read only field. Branch details will be auto-populated based on the selected Drawer ID from the LOV.	203-Bank Futura -Branch FZ1
Bill Amount	Select the Bill currency and provide the Bill Amount.	
Amount In Local Currency	System fetches the local currency equivalent value for the LC amount from back office (with decimal places).	
Process Reference Number	Unique OBTFPM task reference number for the transaction. This is auto generated by the system based on process name and branch code.	
Customer Reference Number	Provide the customer reference number if available.	

Field	Description	Sample Values
Priority	System will populate the priority of the customer based on priority maintenance. If priority is not maintained for the customer, system will populate 'Medium' as the default priority. User can change the priority populated any time before submit.	High
Submission Mode	Select the submission mode of Export Documentary Collection Booking request. By default the submission mode will have the value as 'Desk'. Desk- Request received through Desk Courier- Request received through Courier	Desk
Booking Date	By default, the application will display branch's current date.	04/13/2018

Collection Details

Registration user can provide collection details in this section. Alternately, details can be provided by Data Enrichment user.

Field	Description	Sample Values
Documents Received	Select the number of sets of documents received: • First • Second • Both	
Tenor Type	Provide the tenor details based on the document received.	
Product Code	Select the product code for the collection booking.	
Product Description	Read only field. This field displays the description of the product as per the product code.	
Operation Type	Read only field. Operation type will be defaulted based on the product code. User can book a documentary collection using the Operation Type as FOP , to indicate if the documents are to be delivered Free of Payment to the Drawee by the Collecting Bank.	

Field	Description	Sample Values
Stage	Select the stage from the following options: - Initial - Final By default, stage is set to 'Initial'. Final to initial.  Note For Operation Type, FOP the Stage to be defaulted to Final as Free of Payment is not applicable for Bills in Initial stage.	
Contract Reference Number	System to populate contract reference number from the back end system once the product code is selected.	
Drawee	Select the Drawee from LOV.  Note If the selected drawee/ party is blacklisted the system displays a warning message.	
Collecting Bank	Select the collecting bank from LOV.  Note This field is not applicable if the documents are to be received directly by Drawee.	
Finance Amount	Provide the amount that requires finance.	
Limit verification required	Toggle On- Enables for limit verification. If the toggle is disabled and Finance amount has been provided, the system prompts an override message on Submit.	
Customer Dispatch	The value will be populated from back office based on the maintenance. Toggle On: If the toggle is set to Yes, the customer has the option to dispatch the documents directly to the importer's bank. Toggle Off: If the toggle is set to No, the bank has to dispatch the documents to the importer's bank. Bank user is not allowed to edit the field, if the value defaulted from the back office is "No",	

Miscellaneous

Export Documentary Collection Booking - Islamic

Documents Remarks Customer Instruction Common Group Messages

Application Details

Drawer * 000327 FIXNETIX

Branch PK1-Oracle Banking Trade Finan...

Bill Amount * USD \$120.00

Amount In Local Currency GBP £47.34

Process Reference Number PK1IEDB000071198

Customer Reference Number 3232

Priority Medium

Submission Mode Desk

Booking Date * May 5, 2021

Collection Details

Documents Received First

Tenor Type Sight

Product Code * EIFP

Product Description OUTGOING DOCUMENTARY MULTI TEN

Operation Type FOP

Stage FINAL

Contract Reference Number PK2EIFP211252501

Drawee

Collecting Bank 001186 CITIBANK NY

Finance Amount

Limit verification required

Customer Dispatch

Hold Cancel Save & Close Submit

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the documents received under the Documentary Collection.	
Remarks	Provide any additional information regarding the collection. This information can be viewed by other users handling the request.	
Checklist	Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not selected, system will display an error on submit.	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	

Action Buttons

Submit	On submit, task will move to next logical stage of Export Documentary Collection Booking. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in 'My Task' queue for working later. This option will not submit the request.	
Cancel	Cancels the Export Documentary Collection Booking Task. Details entered will not be saved and the task will be removed.	

Field	Description	Sample Values
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	

Data Enrichment

On successful completion of Registration of an Islamic Export Documentary Collection Booking, the task moves to Data Enrichment stage.

At this stage the user will enter/update basic details of the Islamic Export Collection Booking request, check documents and can verify if the request can be progressed further.



Note

For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

Do the following steps to acquire a task currently at Scrutiny stage:

1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user profile.

High Value Transactions

120K
80K
40K
0

0 2 4 6 8 10 12

● GBP
● EUR

High Priority Tasks

Branch	Process Name	Status
	Import Documentary Collections	Re...
	Export LC Advising	Re...
	Export LC Advising	Av...

Priority Summary Export Docu...

Branch	Process Name
000	Export Documentary Collections-Update
000	Export Documentary Collections-Update
000	Export Documentary Collections-Update

Pending Exception Approval

Type to filter x

Customer Name	Stage Name	Process Reference Number	Process Name	Branch Name	Currency
NA	Amount Block Exception Approval	GS1ELCA000026913	Export LC Advising		GBP
NA	Limit Earmarking Exception Approval	GS1ELCA000026913	Export LC Advising		GBP

SLA Status Summary Export Documentary Collections-Upd...

3. Click Trade Finance> Tasks> Free Tasks.

Free Tasks

Refresh Acquire Flow Diagram

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amount
Acquire & E...	Medium	Islamic Export Documentary Collecti...	PK2IEDB000071200	PK2IEDB000071200	DataEnrichment	22-03-09	PK2	004812	
Acquire & E...	Medium	Islamic Export Documentary Collecti...	PK2IEDB000071198	PK2IEDB000071198	DataEnrichment	22-03-09	PK2	000325	
Acquire & E...	Medium	ExportLC Amendment BeneficiaryCo...	PK2IEAM000071197	PK2IEAM000071197	DataEnrichment	22-03-09	PK2	001204	
Acquire & E...	Medium	Guarantee Advise Cancellation	PK2GTAC000071194	PK2GTAC000071194	Registration	22-03-09	PK2	001044	
Acquire & E...	Medium	Guarantee Advise Cancellation	PK2GTAC000071193	PK2GTAC000071193	DataEnrichment	22-03-09	PK2	001044	
Acquire & E...	Medium	Guarantee Advise Cancellation	PK2GTAC000071191	PK2GTAC000071191	DataEnrichment	22-03-09	PK2	001044	
Acquire & E...	High	Shipping Guarantee Issuance	PK2SGTI000071127	PK2SGTI000071127	KYC Exceptional a...	22-03-07	PK2	001044	
Acquire & E...	Medium	Guarantee Advise Cancellation	PK2GTAC000071185	PK2GTAC000071185	Registration	22-03-09	PK2	001044	
Acquire & E...	Medium	Guarantee Advise Cancellation	PK2GTAC000071184	PK2GTAC000071184	Registration	22-03-09	PK2	001044	
Acquire & E...	Medium	Guarantee Advise Cancellation	PK2GTAC000071183	PK2GTAC000071183	Registration	22-03-09	PK2	001044	
Acquire & E...	Medium	Shipping Guarantee Issuance	PK2SGTI000071181	PK2SGTI000071181	Registration	22-03-09	PK2	001044	
Acquire & E...	Medium	Guarantee Advise Cancellation	PK2GTAC000071175	PK2GTAC000071175	Registration	22-03-09	PK2	001044	
Acquire & E...	Medium	Guarantee Advise Cancellation	PK2GTAC000071174	PK2GTAC000071174	DataEnrichment	22-03-09	PK2	001044	

Page 1 of 137 (1 - 20 of 2734 items) K < 1 2 3 4 5 ... 137 > X

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

The data enrichment stage has the following hops for data capture:

- Main Details
- Document Details
- Other Details
- Shipment Details
- Maturity Details
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for data enrichment stage. User can enter/update the following fields. Some of the application details that are already having value from Registration channels may not be editable.

Main Details

Main details section has two sub section as follows:

- Application Details
- Collection Details

Application Details

All fields displayed under Basic details section, would be read only except for the **Priority**. Refer to [Application Details](#) for more information of the fields.

Islamic Export Documentary Collection Booking
DataEnrichment :: Application No:- PK1IEDB000011053

Documents Remarks Overrides Customer Instruction Common Group Messages

Main Details Screen (1 / 10)

Application Details

Drawer * 000327 FIXNETIX

Branch PK1-Oracle Banking Trade Finan...

Bill Amount * USD \$120.00

Amount In Local Currency GBP £47.34

Process Reference Number PK1IEDB000011053

Customer Reference Number

Priority Medium

Submission Mode Desk

Booking Date * May 5, 2021

Collection Details

Documents Received Second

Tenor Type Sight

Product Code * EIFP

Product Description OUTGOING DOCUMENTARY MULTI TEN

Operation Type FOP

Stage FINAL

Contract Reference Number PK2EIFP211252502

Drawee * 000325 NATIONAL FR

Collecting Bank

Finance Amount

Limit verification required

Customer Dispatch

Reject Refer Hold Cancel Save & Close Back Next

Collection Details

The fields listed under this section are same as the fields listed under the [Collection Details](#) section in [Registration](#). Refer to [Collection Details](#) for more information of the fields. During Registration, if user has not captured input, then user can capture the details in this section.



Note

In case field Operation Type has value FOP (Free of Payment) that is populated from OBDX, system should retain the value and should not allow the user to edit the same.(Display only field if Submission mode is Online.

Collection Details

Documents Received Second

Tenor Type * Usance

Product Code * IUNA

Product Description INCOMING DOCUMENTARY USANCE B

Operation Type * ACC

Stage * FINAL

Contract Reference Number PK2IUNA21125AFFL

Bill Amount * USD \$100.00

Amount In Local Currency GBP £40.53

Finance Amount USD

Drawer * 000335 SH TEST CORP

Limit verification required

Reject Refer Hold Cancel Save & Close Back Next

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Customer Instructions	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.	
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	

Field	Description	Sample Values
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Document Details

This section enables the user to enter/ update Documents and conditions details for Islamic Export Collection Booking.

Oracle Islamic Export Documentary Collection Booking
DataEnrichment :: Application No:- PK2IED8000071200

My Tasks (DEFAULT ENTITY) Oracle Banking Trade Finan... May 5, 2021 ZARTAB02 subham@gmail.com

Documents Remarks Overrides Customer Instruction Common Group Messages

Screen (2 / 10)

Document Code	Document Type	Documents Description	Document Reference	Copies Received	Originals Received	Document Date	Action
LC1	C	TRANSPORT		2	2		

Audit Reject Refer Hold Cancel Save & Close Back Next

Capture the information based on the description in the following table:

Field	Description	Sample Values
Document Code	Select the document code from the LOV based on the document received . User can add or delete the code by deleting the line on the grid.	
Document Type	System will populate the document type based on the document code.	
Document Description	System will populate the document description based on the document code. User can edit the description.	
Document Reference	Enables the user to capture the document reference.	
Copies Received	Provide the number copies received from the Drawer. User can edit the actual copies received.	
Originals Received	Provide the number of original documents received from the Drawer. User can edit the actual originals received.	
Document Date	User can edit the date on which the document is received. On click of 'Next' system should validate the value in this field against the transport document/ Bill of Lading (MARDOC) against any Outstanding Shipping Guarantees issued for the drawee and is not linked to any Documentary Collection or Import Letter of Credit. System should default the Shipping Guarantee Reference in the next screen.	

Field	Description	Sample Values
Action	Click Edit icon to edit the document code/ document date. Click Delete icon to delete the document code.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.	
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Other Details

Other Details enables the user to capture details like Credit Value Date, Debit Value Date, Other Bank charges etc. User can validate the Other details for Islamic Export Collection Booking.

Islamic Export Documentary Collection Booking
DataEnrichment :: Application No:- PK2IEDB000071200

Documents Remarks Overrides Customer Instruction Common Group Messages

Main Details Document Details **Other Details** Shipment Details Maturity Details Additional Fields Advices Additional Details Settlement Details Summary

Other Details Screen (3 / 10)

Other Details

Debit Value Date May 5, 2021 Credit Value Date May 5, 2021 Value Date May 5, 2021

Other Bank Charges

Other Bank Charges-1 Other Bank Charges-2 Other Bank Charges-3

Other Bank Profit

Start Date

Other Bank Profit-1 Other Bank Profit-2 Other Bank Profit-3

Component PRO1 PRO2 PRO3

Component Description

Profit Rate

Profit Basis

Waive

Audit Reject Refer Hold Cancel Save & Close Back Next

Other Details

Provide the other bank charges based on the description in the following table:

Field	Description	Sample Values
Debit Value Date	Provide the debit value date.	
Credit Value Date	Provide the credit value date.	
Value Date	Provide the value date.	

Other Bank Charges

Provide the other bank charges based on the description in the following table:

Field	Description	Sample Values
Other Bank Charges - 1	Charges to be collected for the other bank as part of the collection transaction.	
Other Bank Charges - 2	Charges to be collected for the other bank as part of the collection transaction.	
Other Bank Charges - 3	Charges to be collected for the other bank as part of the collection transaction.	

Other Bank Profit

The user can enter the Profit details to be captured as a part of "Other Bank Profit" details section.

Provide the other bank Profit based on the description in the following table:

Field	Description	Sample Values
Start Date	Provide the date from which the system starts calculating the Interest.	
Other Bank Profit -1, 2 and 3		
Component	This field displays the name of the interest Component.	
Component Description	Provide the description of the interest component.	
Profit Rate	Provide the rate to be applied for the interest component.	
Profit Basis	Select the calculation basis on which the Interest to be computed.	
Waive	Select whether the interest to be waived off. The options are: - Yes - No	

Shipment Details

A DE user, can Enrich the Shipment request for Islamic Export Collection Booking.Shipment Details.

Islamic Export Documentary Collection Booking
DataEnrichment :: Application No:- PK2IEDB000071200

Documents Remarks Overrides Customer Instruction Common Group Messages

Screen (4 / 10)

Main Details Document Details Other Details **Shipment Details** Maturity Details Additional Fields Advises Additional Details Settlement Details Summary

Shipment Details

Transshipment: ALLOWED Partial Shipments: ALLOWED Date of Shipment: Mar 7, 2022 Place of Taking in Charge: London

Port of Loading: Port of Discharge: Mumbai Place of Final Delivery: Carrier Name:

Shipping Agent Name: Shipping Agent Address:

INCO Terms: INCO Terms Description:

45A Description of Goods and/or Services

Goods Code	Goods Type	Goods Description	Action
GOOD12	G	TABLE FAN	

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Country of Origin: Insurance Company Code: Insurance Company: Policy Number:

Insurance Company Address:

Multi-model/ Transshipment details

Carrier Name	Port	Action
Carrier1	Mumbai	

Audit

Reject Refer Hold Cancel Save & Close Back Next

Provide the Shipment Details based on the description in the following table:

Field	Description	Sample Values
Transshipment	Provide the transshipment details of goods (if any) from the document received. The values are: • Allowed • Not Allowed	
Partial Shipments	Provide the partial shipment details of goods (if any) from the document received. The values are: • Allowed • Not Allowed	
Date Of Shipment	Provide the date of shipment from the document received. Shipment date can be back dated but not future dated. This is a mandatory field, an error message will be displayed if not provided.	
Place Of Taking In Charge	This field specifies the place of taking in charge (in case of a multi-modal transport document), the place of receipt (in case of a road, rail or inland waterway transport document or a courier or expedited delivery service document), the place of dispatch or the place of shipment to be indicated on the transport document. Provide the details of place of taking in charge from the document received.  Note This field is alternate to Port Of Loading.	
Port Of Loading	This field specifies the port of discharge or airport of destination to be indicated on the transport document. Provide the details of Port/Airport of Loading from the document received.  Note This field is alternate to Place Of Taking In Charge.	

Field	Description	Sample Values
Port Of Discharge	This field specifies the port of discharge or airport of destination to be indicated on the transport document. Provide the details of Port/Airport of Discharge from the document received.  Note This field is alternate to Place Of Final Destination.	
Place Of Final Delivery	This field specifies the final destination or place of delivery to be indicated on the transport document. Provide the details of Place Of Final Destination from the document received.  Note This field is alternate to Port Of Discharge.	
Carrier Name	Provide the name of the carrier through which the goods were shipped.	
Shipping Agent	Provide the shipping agent name from the document received.	
Shipping Agent Address	Provide the shipping agent address from the document received.	
Transshipment	Provide the transshipment details of goods (if any) from the document received.	
Partial Shipment	Provide the partial shipment details of goods (if any) from the document received.	
INCO Terms	Select the INCO terms from the document received.	
INCO Terms Description	The description of the INCO Term.	

Description of Goods and/or Services

Provide the Goods Details based on the description in the following table:

Field	Description	Sample Values
+ Icon	Click + icon to add goods details.	
Goods Code	Click look up icon to select the goods code. Once you select goods code, value will default in Goods Type and Goods Description.	
Goods Type	The goods type is auto populated depending on selected goods code.	

Field	Description	Sample Values
Goods Description	This field displays the description of goods based on goods code.	
No of Units	Enter the number of units being imported or exported.	
Price per Unit	Enter the value for price per unit.	
Total Amount	System to calculate the total price. In case of online request, the system should populate the total amount from incoming request. System should validate that the total amount is equal to the value of the transaction (LC/ Collection).	
Action	Click Edit icon to edit the goods code. Click Delete icon to delete the goods code.	
Country of Origin	Provide the country of origin details from the documents received.	
Insurance Company Code	Select the Insurance Company Code from the LOV.	
Insurance Company	Read only field. Insurance company details will be displayed as per the selected Insurance Company Code from the LOV.	
Policy Number	Provide the policy number of the insurance.	
Insurance Company Address	Read only field. Insurance company address will be displayed as per the selected Insurance Company Code from the LOV.	

Multimodal/Transshipment Details

During shipment, if goods are shipped using multiple transports on its way or any transit ports, the details must be captured in this section.

Field	Description	Sample Values
Carrier Name	Provide the details of the carrier as per the documents received, if the goods were shipped via multiple carriers.	
Ports	Provide the port details as per the document received, if the ship has transit on its way to the destination.	
Action	Click Edit icon to edit the Carrier name and port. Click Delete icon to delete the record.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.	
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	

Field	Description	Sample Values
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Maturity Details

A DE user, can Enrich the Maturity Details for Islamic Export Collection Booking.Shipment Details.

Islamic Export Documentary Collection Booking
DataEnrichment :: Application No:- PK2IEDB000071200

Documents Remarks Overrides Customer Instruction Common Group Messages

Main Details Document Details Other Details Shipment Details **Maturity Details** Additional Fields Advices Additional Details Settlement Details Summary

Maturity Details Screen (5 / 10)

Draft Code	Draft Amount	Action
Cost of the shipment	\$120.00	

Multi Tenor

S.No	Tenor Basis	Tenor Description	Start Date	Tenor Days	Transit Days	Maturity Date	Bill Amount	Liquidated Amount	Exchange Rate	Liquidation Date	Liquidation Amount	Action
1	FP		May 5,	10	5	May 20, 2021	\$120.00					

Profit from Date: Mar 8, 2020

Profit To Date: Mar 30, 2022

Acceptance Commission From Date: Mar 8, 2020

Acceptance Commission To Date: Mar 30, 2022

Audit Reject Refer Hold Cancel Save & Close Back Next

Draft Details

Update the maturity details based on the description in the following table:

Field	Description	Sample Values
Draft Code	Update the draft code. Alternatively, user can search the draft details using LOV.	
Draft Amount	Update the draft amount.	
Action	Click Edit icon to edit the draft code. Click Delete icon to delete the draft code.	

Maturity and Multi Tenor Liquidation Details

Provide the maturity details based on the description in the following table:

Field	Description	Sample Values
SNo.	Serial number of the tenor record.	
Tenor Basis	Provide the tenor basis. This field is disabled if the Tenor Type is 'Mixed'.	
Tenor Description	The tenor base code description is displayed based on the selected tenor basis. The user to can edit the tenor description.	
Start Date	Provide the tenor start date.	
Tenor Days	Provide the number of tenor days	
Transit Days	Provide the transit days, if the tenor is sight.	
Maturity date	System displays the due date for the bill based on tenor and tenor basis. If tenor is sight, system will calculate the maturity date as 5 working days from Document Received Date. If tenor is Usance, system will calculate the maturity date based on the tenor basis and populate the maturity date.  Note In case if the Operation Type is FOP, Due date (Maturity date) is not applicable in this case and current branch date will be populated in the Maturity date field.	
Bill Amount	Provide the bill amount.	
Liquidated Amount	Provide the liquidation amount.	
Exchange Rate	Provide the Exchange Rate.	
Liquidation Date	Provide the liquidation date.	
Liquidation Amount	Provide the bill amount.	
Action	Click Edit icon to edit the tenor record. Click Delete icon to delete the tenor record.	
Profit from Date	Select the profit from date. The profit from date cannot be earlier than branch date and later than maturity date.	
Profit to Date	Application defaults Maturity Date in this field, if Profit from Date is provided.	

Field	Description	Sample Values
Accept Commission From Date	Provide the accept commission from date.	
Accept Commission To Date	Provide the accept commission to date.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.	
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Additional Fields

Banks can configure user defined fields as per their requirement in the Additional Fields Screen.

Islamic Export Documentary Collection Booking
DataEnrichment :: Application No:- PK2IEDB000071200

DocumentsRemarksOverridesCustomer InstructionCommon Group Messages

Main Details

Document Details

Other Details

Shipment Details

Maturity Details

Additional Fields

Advices

Additional Details

Settlement Details

Summary

Additional Fields

Screen (6 / 10)

AuditRejectReferHoldCancelSave & CloseBackNext

Advices

Advices menu displays the advices available under a product code from the back office as tiles. User can edit the fields in the tile, if required. User can suppress the advice, if required.

Islamic Export Documentary Collection Booking
DataEnrichment :: Application No:- PK1IEDB000011053

DocumentsRemarksOverridesCustomer InstructionCommon Group Messages

Main Details

Document Details

Other Details

Shipment Details

Maturity Details

Additional Fields

Advices

Additional Details

Settlement Details

Summary

Advices

Screen (7 / 10)

AuditRejectReferHoldCancelSave & CloseBackNext

Advice : REMITTANCE_LTR	Advice : PAYMENT_MESS...
Advice Name : REMITTANCE_LTR	Advice Name : PAYMENT_MESSAGE
Advice Party : DRAWEE	Advice Party :
Party Name : NATIONAL FREIGHT CORP	Party Name :
Suppress : NO	Suppress : NO
Advice	Advice

Additional Details

A DE user, can verify and enter the basic additional details available for Islamic Export Collection Booking.Shipment Details.

Islamic Export Documentary Collection Booking
DataEnrichment :: Application No:- PK2IEDB000071200

Documents Remarks Overrides Customer Instruction Common Group Messages

Screen (8 / 10)

Additional Details

Charge Details Charge : Commission : Tax : Block Status :	Preview Message Language : Preview Message : -	Payment Details Allow Rollover : No Auto Change from : No Acceptance to : No Advance Liquidate using Collateral	FX Linkage Reference Number : Currency : Contract Amount :
Tracer Details Payment Tracer : No Acceptance Tracer : No Charges Details : No	Pre-Shipment Details No data to display.		

Audit Reject Refer Hold Cancel Save & Close Back Next

Charge Details

This section displays charge details:

Recalculate Redefault

Commission Details

Event

Event Description

Component	Rate	Modified Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									

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Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
BCCOUR			GBP	£100.00		☐	☐	☐		PK20010440017
BCCOUR			GBP	£100.00		☐	☐	☐		PK20010440017
BCSWFT			GBP	£150.00		☐	☐	☐		PK20010440017
BCSWFT			GBP	£150.00		☐	☐	☐		PK20010440017

Page 1 of 2 (1-5 of 9 items) < 1 2 >

Tax Details

Component	Type	Value Date	Currency	Amount	Billing	Defer	Settlement Account
No data to display.							

Save & Close Close

This section displays the commission details:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	This field displays the commission component.	

Field	Description	Sample Values
Rate	Defaults from product. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	If check box is selected, charges/commissions has to be deferred and collected at any future step. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM. The user can not select/de-select the check box if it is de-selected by default.	
Waive	Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder. This field is disabled, if 'Defer' toggle is enabled.	
Charge Party	Charge party will be 'Drawer' by Default. You can change the value to Drawee.	

Field	Description	Sample Values
Settlement Account	Details of the Settlement Account.	

Charge Details

Provide the charge details based on the following table:

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM. The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Waive	If charges have to be waived, this check box has to be selected. This field is disabled, if 'Defer' toggle is enabled.	
Charge Party	Charge party will be Drawer by default. You can change the value to Drawee.	
Settlement Account	Details of the settlement account.	

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

This section displays the tax details:

Field	Description	Sample Values
Component	Tax Component type	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if applicable.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	

Payment Details

PaymentDetails

Auto Liquidate

☐

Outstanding Collateral Amount

USD

Allow Rollover

☐

Split Settlement

☒

Auto Change from Acceptance to Advance

☐

Liquidate using Collateral

☐

Settlement Details - Liquidation

Component	Currency	Debit/Credit	Account	Account Description	Branch	Account Currency
AMT_PURCHASED	USD	Debit	PK1000325025	NATIONAL FREIGHT CORP	PK1	GBP
AMT_PURCHASEDEQ	USD	Credit	PK1000325025	NATIONAL FREIGHT CORP	PK1	GBP
BILL_LIQ_AMT	USD	Debit	PK1000325025	NATIONAL FREIGHT CORP	PK1	GBP
BILL_LIQ_AMTEQ	USD	Credit	PK1000325025	NATIONAL FREIGHT CORP	PK1	GBP
AMT_PURCHASED	USD	Debit	PK1000325025	NATIONAL FREIGHT CORP	PK1	GBP

Page

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Split Settlement

+

Component	Contract Currency	Amount
No data to display.		

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Split Settlement Details

Fetch Exchange Rate

+

Sequence	Amount	Settlement Account	Account Customer	Account Currency	Account Branch	Exchange Rate	Action
1	120	PK1000331017	000331	GBP	PK1	1.43	✎ 🗑

Page

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Save & Close

Close

Provide payment details based on the description in the following table:

Field	Description	Sample Values
Auto Liquidate	Toggle On: Set the toggle 'On' if the auto liquidation is required. Toggle Off: Set the toggle 'Off' if the auto liquidation is not required.	
Allow Roll over	Toggle On: Set the toggle 'On' to allow roll over.	
Auto Change from Acceptance to Advance	This flag indicates whether an Acceptance type of bill should be automatically converted into an Advance type of bill on its liquidation date.  Note This option is applicable only for the bills that are co-accepted by the bank.	
Liquidate using Collateral	Toggle On: Set the toggle 'On' if liquidation is done using collateral.	
Outstanding Collateral Amount	Read only field. Auto Liquidation enables liquidation of the bill on the due date automatically from the back office system.	

Field	Description	Sample Values
Split Settlement	Toggle On: Enables the user to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill Toggle Off: Disables the user to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill	Disable
Settlement Details - Liquidation		
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	
Debit/Credit	Application displays the debit/credit indicators for the components.	
Account	Application Displays the account details for the components.	
Account Description	Application displays the description of the selected account.	
Branch	Application displays the branch of the selected account.	
Account Currency	Application defaults the currency for all the items based on the account number.	
Split Settlement		
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	
Amount	Amount for each component. This is populated from the transaction details of the drawing.	
Split Settlement Details		
Select	Check box to select the record	
Sequence	Sequence of the settlement details.	
Amount	Amount for the split settlement.	
Settlement Currency	Currency for the split settlement.	
Account Customer	Customer account.	
Account Currency	Currency of the account.	
Account Branch	Branch of the customer's account.	

Field	Description	Sample Values
Exchange Rate	Exchange rate for the split settlement.	
Action	Click Edit icon to edit the split settlement details record. Click Delete icon to delete the split settlement details record.	

FX Linkage

This section enables the user to link the existing FX contract(s) to the Bill. User can link one or more FX deals to a bill. The linked value of an FX deal(s) must not exceed the value of the bill.

FX contract linkage with the Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the bill.

Following are the features of FX Linkage in BC.

- FX linkage cannot be linked at Bills at initial stage.
- When a bill is drawn under LC, the details of forward contract linked as a part of the LC, will be defaulted at bill.
- Linked amount will be defaulted against the corresponding FX sequentially.
- User can delink or modify the defaulted FX details at in the Bill.
- Bill maturity date should be greater than or equal to FX Value date.
- Sum of Linked amount will not be greater than Bill contract amount.
- Linked amount will not be greater than the available amount for linkage.
- Current Utilized amount will display the liquidated/purchased/discounted/negotiated amount of Bill contract. It cannot go beyond the linked FX amount.
- When a bill is drawn under LC, delink of FX at BC is allowed only if the linked FX is not utilized by the bill.
- Multiple forward FX contract could be linked and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. The same will be populated in the Average FX Rate.

FX Linkage

+

FX Reference Number	Bought Currency	SOLD Currency	Available Contract Amount	Rate	Linked Amount	Current Utilized Amount	Total Utilized Amount	FX Expiry Date	
PK2FXF1200762003	USD	USD	US\$9,999.00	1.33	US\$9,999.00			28-Jun-202C	 

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1
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Average FX Rate

0

Save & Close

Close

FX Linkage

FX Reference Number *

PK2FXF1200762005

Contract Amount

GBP

£1,000.00

Linkage Amount *

GBP

£1,000.00

Amount in Contract Currency

1000

FX Delivery Period From

Currency

GBP

Available Contract Amount

GBP

£1,000.00

Rate

1.33

FX Expiry Date

Jun 28, 2020

FX Delivery Period To

Save & Close

Close

Provide the FX linkage detail based on the description in the following table:

Field	Description	Sample Values
FX Reference Number	Select the FX contract reference number from the LOV. On select and save and close, system defaults the available amount, bot currency, sold currency and rate. Forward FX Linkage available for selection at bill would be as follows, - Counterparty of the FX contract should be the counterparty of the Bill contract. - Active Forward FX transactions authorized not marked for auto liquidation. Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill.	
Bought Currency	This field displays the currency from the linked FX contract.	
Sold Currency	This field displays the currency from the linked FX contract.	
Available Contract Amount	Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero.	
Rate	This field displays the rate at which the contract is booked.	

Field	Description	Sample Values
Linked Amount	Sum of Linked amount will not be greater than LC contract amount. Linked amount will not be greater than the available amount for linkage.	
Current Utilized amount	This field displays the liquidated /purchased / discounted /negotiated amount of BC contract. It cannot go beyond the linked FX amount.	
Total Utilized amount	This field displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version.	
Amount in Contract Currency	This field displays the amount in contract currency converted from FX currency.	
FX Expiry Date	This field displays the expiry date from the linked FX contract.	
FX Delivery Period - From	This field displays the date from which the contract is valid for utilization.	
FX Delivery Period - To	This field displays the date to which the contract is valid for utilization.	
Action	Click the Edit icon to modify the FX details. Click the Delete icon to delete the FX details.	
Average FX Rate	Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.	

Tracers

This section enables the user to view the default Tracer details from back end application. It also allows the user to add new Tracer details. Add new Tracer details based on the description in the following table:

Acknowledgement Tracer, Acceptance Tracer and Payment Tracer are applicable for this process.

Tracer Details

Payment Tracer

Tracer Required
☒

Number of Tracers

Tracer Frequency
Weekly

Tracer Medium
SWIFT

Tracer Receiver Party

Tracer Start Date
Jan 1, 2014

Acknowledgement Tracer

Tracer Required
☐

Number of Tracers

Tracer Frequency

Tracer Medium

Tracer Receiver Party

Tracer Start Date
Jan 1, 1970

Save & Close
Close

Field	Description	Sample Values
Tracer Required	Select if tracer is required or nor from the available drop list: - Required - Not required - Till resolved	
Number of Tracers	Provide the number of tracers required.	
Tracer Frequency	Provide the frequency for generation of the Tracer.  Note This field is applicable only if Tracer Required has value as Till Resolved.	
Tracer Medium	Select the tracer medium from the LOV.  Note This field is applicable only if Tracer Required has value as Required or Till Resolved.	
Tracer Receiver Party	Select the tracer receiver party from the LOV.  Note This field is applicable only if Tracer Required has value as Required or Till Resolved.	

Field	Description	Sample Values
Tracer Start Date	Select the tracer start date. Start date cannot be earlier than the branch date.  Note This field is applicable only if Tracer Required has value as Required or Till Resolved.	

Profit Details

The user can view and modify the Profit Details Simulated from Back Office system. On update of the Interest rate user has to click on Recalculate button. System will trigger a simulation call to the back office and the updated Profit details will be reflected in this section.

Profit Details

Recalculate
Redefault

Component	Component Description	Rate Type	Rate Code	Min Spread	Max Spread	Spread	Min Rate	Max Rate	Rate	Modified Rate	Special Pricing Reference Number	Currency	Amount
No data to display.													

Save & Close
Close

Field	Description	Sample Values
Component	This field displays the component maintained in the back office.	
Component Description	This description of the component.	
Rate Type	System defaults the rate type maintained for the component in back office. Value can be: • Fixed Floating • Special	
Rate Code	This field displays the rate code applicable for the Component.	
Min Spread	This field displays the minimum spread applicable for the Rate Code. This field have value only if the Rate Type is Floating .	
Max Spread	This field displays the maximum spread applicable for the Rate Code. This field have value only if the Rate Type is Floating .	
Spread	This field displays the spread applicable for the Component in case of Floating Rate Component. User can change the defaulted value. System validates whether the spread input is within the Minimum to Maximum Spread.	
Min Rate	This field displays the minimum rate applicable for the Rate Code.	
Max Rate	This field displays the maximum rate applicable for the Rate Code.	
Rate	This field displays the value applicable for the Rate Code. User can change the value if the Rate Type is Fixed . System validates whether the Rate input is between the Minimum and Maximum Rate.	
Modified Rate	This field displays the modified rate.	

Field	Description	Sample Values
Special Pricing Reference Number	User can capture the Special Pricing Reference Number, when there is a special Interest rate to be provided for that customer against the interest component (Main Component). Special Pricing Reference is not applicable for Penal Interest components. For transactions initiated from OBDX, the Special Pricing Reference Number will be populated from OBDX and user cannot edit the same. Note System shows an override as “Special Pricing Applicable”, on clicking “Save” in the Interest Details screen, if Special Pricing Reference number has been provided.	
Currency	System defaults the Interest currency in this field.	
Amount	User can input the interest amount, in case the Rate Type is Special , else user can not be able to input the amount. In such cases, the amount will be calculated by back office immediately only if the Interest is collected in Advance or if Back Dated Interest is collected. In that scenario, the Amount will be populated on Re-simulation from back office. Otherwise Interest will be calculated only in the batch as maintained.	
Waive	User can waive the Interest applicable. System displays an override, if the user has waived the Interest.	
Charge Party	System displays the Charge Party based on the type of transaction. In case of Export Transactions, Drawer should be the defaulted Charge Party for Collection Bills and Beneficiary for the LC Bills. In case of Import Transactions, Drawee should be the Charge Party for Collection and Applicant for the LC Bills..	
Settlement Account	System defaults the Settlement Account of the Charge Party for debit of Interest. User can change the value. System should display an error if a different customer is chosen. If different account of the Charge Party is selected, system should display a override. In case the user modifies the Interest Rate, the user should click on Recalculate button to get the modified amount from the back office and display the new Amount.(Recalculation is done in back office and not in OBTFPM).	

Pre Shipment Details

This section links an existing Preshipment Credit.

Pre-Shipment Details

PreShipment Details

CI Account Number	Currency	Outstanding Amount	Action
		120	 

Page 1 of 1 (1 of 1 items)   1  

Settled Finance

Sequence Number	CI Account Number	Currency	Outstanding Amount	Event	Settled Amount
No data to display.					

Page 1 (0 of 0 items)   1  

Save & Close

Close

Provide the Pre Shipment details based on the description in the following table:

Field	Description	Sample Values
CI Account Number	Select the pre-shipment Loan Account number from the LOV.	
Currency	The currency of the pre-shipment loan account number.	
Outstanding Amount	The pre-shipment credit outstanding amount.	
Action	Click the Edit icon to modify the Pre Shipment details. Click the Delete icon to delete the Pre Shipment details.	
Settled Finance		
Sequence Number	This field displays the sequence of the settled loan.	
CI Account Number	This field displays the pre-shipment Loan Account number.	
Currency	This field displays the currency of the pre-shipment loan account number.	
Outstanding Amount	This field displays the pre-shipment credit outstanding amount.	
Event	This field displays the event.	
Settled Amount	This field displays the settled amount.	

Preview Message

User can preview the message simulated for correspondence with Remitting Bank.

Preview - SWIFT Message

Language

English

Message Type

Preview Message

Preview - Mail Advice

Language

English

Advice Type

Preview Message

Save & Close

Close

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.	
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Settlement Details

As part of DE user can verify and enter the basic additional details available in the Export Collection Booking. In case the request is received through online channel user will verify the details populated:

Islamic Export Documentary Collection Booking
DataEnrichment :: Application No:- PK11EDB000011053

Documents Remarks Overrides Customer Instruction Common Group Messages

Main Details Document Details Other Details Shipment Details Maturity Details Additional Fields Advices Additional Details **Settlement Details** Summary

Settlement Details
☐ Current Event

Screen (9 / 10)

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event
AMT_PURCHASED	USD	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	No
AMT_PURCHASEDEQ	USD	Credit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	No
BCTAX1_AMT	GBP	Debit	PK1000327018	FIXNETIX	GBP	No	No
BCTAX2_AMT	GBP	Debit	PK1000327018	FIXNETIX	GBP	No	No
BILL_LIQ_AMT	USD	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	No
BILL_LIQ_AMTEQ	USD	Credit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	No
COLL_LIQ_AMT	USD	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	No
COLL_LIQ_AMTEQ	USD	Credit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	No
EIB_DIS_IN_ADJ	USD	Credit	PK1000327018	FIXNETIX	GBP	No	No
EIB_DIS_IN_LIQD	USD	Debit	PK1000327018	FIXNETIX	GBP	No	No

Reject Refer Hold Cancel Save & Close Back Next

Provide the settlement details based on the description in the following table:

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	System displays the default currency for the component.	
Debit/Credit	System displays the debit/credit indicators for the components.	
Account	System displays the account details for the components.	
Account Description	System displays the description of the selected account.	
Account Currency	System defaults the currency for all the items based on the account number.	
Netting Indicator	System displays the applicable netting indicator.	
Current Event	System displays the current event as Y or N.	

Summary

User can review the summary of details updated in Data Enrichment stage of Islamic Export Documentary Collection Booking request.

The tiles must display a list of important fields with values. User can drill down from summary Tiles into respective data segments.

Islamic Export Documentary Collection Booking
DataEnrichment :: Application No:- PK1IEDB000011053

Documents Remarks Overrides Customer Instruction Common Group Messages

Screen (10 / 10)

Main Details Document Details Other Details Shipment Details

Booking Date : 2021-05-05
Submission Mode : Desk
Remitter Reference :
Bill Amount : USD 120

Document 1 :
Document 2 :

Value Date : 2021-05-05
Debit Value Date : 2021-05-05
Credit Value Date : 2021-05-05

Port of Loading :
Port of Discharge :
Shipment Date :
Carrier Name :

Maturity Details Additional Fields Advices Limits and Collaterals

Tenor Type : Slight
Click here to view Additional fields

Advice 1 : REMITTANCE
Advice 2 : PAYMENT_ME

Limit Currency :
Limit Contribution :
Limit Status : Not Verified
Collateral Currency :
Collateral Contr. :
Collateral Status : Not Verified

Commission, Charges and taxes Preview Messages FX Linkage Payment Details

Charge : GBP500
Commission :
Tax : USD2
Block Status : Not Initia

Language : ENG
Preview Message : -

Reference Number :
Contract Amount :
Contract Currency :

Advance by Loan :
Allow Rollover :
Liquidate using Collateral

Reject Refer Hold Cancel Save & Close Back Next Submit

Tiles Displayed in Summary

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Maturity Details - User can view the maturity details.
- Documents - User can view the document details.
- Draft Details - User can view the draft details.
- Additional Fields - User can view the details of additional fields.
- Advice Details - User can view the details of advices.
- Payment Details - User can view the payment details.
- Shipment Details - User can view the shipment details.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Tracers - User can view the tracer details.
- FX Linkage - User can view the details of FX Linkage.
- Settlement Details - User can view settlement details.
- Preview message - User can view the preview message.
- Compliance - User can view the compliance details.
- Accounting Details - User can view the accounting entries generated by back office system.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will move to next logical stage of Export Documentary Collection Booking. If mandatory fields have not been captured, system will display an error message highlighting that the mandatory fields have to be updated. In case of duplicate documents' system will terminate the process after handing off the details to back office.	
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	

Exceptions

The Export Collection Booking request, before the task moves to the approval stage, the application will validate the Amount Block, KYC and AML. A failure in validation of any of them, the task will reach exception stage for further approval for the exceptions.

Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create an amount block. On hand-off, system will debit the blocked account to the extent earmark and credit charges/ commission account in case of charges block or credit the amount in suspense account for earmarks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of updated available fields with values.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the "Amount Block Reference Number" to the back office. On successful handoff, back office will make use of these "Amount Block

Reference Number" to release the Amount Block done in the mid office (OBTFPM) and should debit the CASA account from the Back office. If multiple accounts are applicable, Amount Block

Reference for all accounts to be passed to the back office.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

Amount Block Exception

This section will display the amount block exception details.

Summary

Islamic Export Documentary Collection Booking
AmountBlock Exception Approval: Application No:- 000IED8000012120

KYC Exception

Summary

Main Details	Document Details	Other Details	Shipment Details	Additional Fields
Booking Date : 2021-05-05 Submission Mode : Desk Remitter Reference : Bill Amount : USD 120	Document 1 : Document 2 :	Value Date : 2021-05-05 Debit Value Date : 2021-05-05 Credit Value Date : 2021-05-05	Port of Loading : Port of Discharge : Shipment Date : Carrier Name :	Click here to view : Additional fields
Advices	Limits and Collaterals	Commission, Charges and taxes	Preview Messages	FX Linkage
Advice 1 : Advice 2 :	Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : Collateral Contr. : Collateral Status : Not Verified	Charge : Commission : Tax : Block Status : Not Initia	Language : ENG Preview Message : *	Reference Number : Contract Amount : Contract Currency :
Payment Details	Settlement Details	Parties Details	Compliance details	Profit Details
Advance by Loan : Allow Rollover : Liquidate using : Collateral	Component : Account Number : Currency :	Drawer : SH TEST CORP Drawee : PK2WALKIN1	KYC : Not Initia Sanctions : Not Initia AML : Not Initia	Component : Amount : Event :

Audit

Reject Refer Hold Approve Back Next

Screen (2 / 2)

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.

- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charge Details - User can view and modify details provided for charges, if required.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Cancel	Cancel the Export Collection Booking Amount Block Exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for trade finance transactions will be listed in your queue. Open the task, to see summary tiles that display a summary of available updated fields with values.

User can pick up a transaction and do the following actions:

Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

Summary

Islamic Export Documentary Collection Booking
KYC Exceptional approval :- Application No:- 0001EDB000012120

KYC Exception

Summary

Screen (2 / 2)

Main Details	Document Details	Other Details	Shipment Details	Additional Fields
Booking Date : 2021-05-05 Submission Mode : Desk Remitter Reference : Bill Amount : USD 120	Document 1 : Document 2 :	Value Date : 2021-05-05 Debit Value Date : 2021-05-05 Credit Value Date : 2021-05-05	Port of Loading : Port of Discharge : Shipment Date : Carrier Name :	Click here to view Additional Fields :
Advices	Limits and Collaterals	Commission, Charges and taxes	Preview Messages	FX Linkage
Advice 1 : Advice 2 :	Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : Collateral Contr. : Collateral Status : Not Verified	Charge : Commission : Tax : Block Status : Not Initia	Language : ENG Preview Message : +	Reference Number : Contract Amount : Contract Currency :
Payment Details	Settlement Details	Parties Details	Compliance details	Profit Details
Advance by Loan : Allow Rollover : Liquidate using Collateral :	Component : Account Number : Currency :	Drawer : SH TEST CORP Drawee : PK2WALKIN1	KYC : Not Initia Sanctions : Not Initia AML : Not Initia	Component : Amount : Event :

Audit

Reject Refer Hold Approve Back Next

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Document Details - User can view document details.
- Draft Details - User can view the draft details.
- Shipment Details - User can view shipment details.
- Charges - User can view charge details.
- Maturity Details - User can view the maturity details.
- Advices - User can view the advices.
- Payment Details - User can view the payment details.
- FX Linkage - User can view the FX Linkage details.
- Settlement Details - User can view the settlement details.
- Message Preview - User can view the preview of the simulating message to the remitting bank.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Cancel	Cancel the Export Collection Booking KYC exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Exception - Limit Check/Credit

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

Log in into OBTFPM application, limit check exception queue. Limit check exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

**Note**

On Approval of the exception task, system should validate the Limit Availability, Limit Expiry Date in the Limit System and create Earmark in the ELCM system. In case if the Limit is not available or the Limit is expired, then system should display an error message and should not allow the user to approve and proceed.

Limit check Exception approver can do the following actions:

Approve

- Limit enhanced in the back end (outside this process).
- Without enhancing limit in the back end.

Refer

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

Reject

The transaction due to non-availability of limits capturing reject reason.

Limit/Credit Check

This section will display the amount block exception details.

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Cancel	Cancel the Export Collection Booking Limit exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Multi Level Approval

User can view the summary of details updated in multilevel approval stage of Islamic Export Collection Booking.



Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

Log in into OBTFPM application and acquire the task available in the approval stage in free task queue. Authorization User can acquire the task for approving.

User can see the summary tiles, the tiles should display a list of Important fields with values. The user can also drill down from summary tiles into respective data segments where user can verify the details of all fields under the data segment.

Authorization Re-Key (Non-Online Channel)

For non online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Drawee Name
- Drawer Name
- Bill Currency
- Bill Amount

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

The screenshot displays the FuTura Bank OBTFPM application interface. On the left is a navigation menu with options like Core Maintenance, Dashboard, Maintenance, Security Management, Tasks, and Trade Finance. The main area is titled 'Free Tasks' and contains a table with columns: Action, Priority, and Application Number. The table lists several tasks, all with the action 'Acquire & Edit'. A modal dialog box titled 'Approval Rekey' is open in the center. It has tabs for 'Incoming Message', 'Documents', and 'Remarks'. The 'Incoming Message' tab is active, showing fields for 'Applicant Party' (value: 000262) and 'Application Date' (value: Feb 1, 2019). Both fields have green checkmarks next to them, indicating successful verification. At the bottom of the dialog are buttons for 'Proceed', 'Refer', and 'Cancel'. In the background, to the right of the dialog, another table is visible with columns: Process Name, Stage, and Back Office Ref No., showing various process entries.

Summary

Islamic Export Documentary Collection Booking
Approval Task Level 1 :: Application No- PK1IEDB000011053

Main Details		Document Details		Other Details		Shipment Details		Maturity Details		Additional Fields	
Booking Date	: 2021-05-05	Document 1	:	Value Date	: 2021-05-05	Port of Loading	:	Tenor Type	: Sight	Click here to view Additional Fields	
Submission Mode	: Desk	Document 2	:	Debit Value Date	: 2021-05-05	Port of Discharge	:				
Remitter Reference	:			Credit Value Date	: 2021-05-05	Shipment Date	:				
Bill Amount	: USD 120					Carrier Name	:				
Advices		Limits and Collaterals		Commission, Charges and taxes		Preview Messages		FX Linkage		Payment Details	
Advice 1	: REMITTANCE	Limit Currency	:	Charge	: GBP1500	Language	: ENG	Reference Number	:	Advance by Loan	:
Advice 2	: PAYMENT_ME	Limit Contribution	:	Commission	:	Preview Message	: -	Contract Amount	:	Allow Rollover	:
		Limit Status	: Not Verified	Tax	: USD6			Contract Currency	:	Liquidate using	:
		Collateral Currency	:	Block Status	: Failed					Collateral	:
		Collateral Contn	:								
		Collateral Status	: Not Verified								
Settlement Details		Parties Details		Compliance details		Accounting Details		Profit Details		Exception(Approval)	
Component	: IBSWIFT_LIQD	Drawer	: FIXNETIX	KYC	: Not Verified	Event	:	Component	:	AmountBlock.KYC	: EXCEPTION
Account Number	: PK1000327018	Drawee	: NATIONAL F	Sanctions	: Verified	AccountNumber	:	Amount	:	PLEASE VISIT	: -
Currency	: GBP			AML	: Verified	Branch	:	Event	:	REMARKS FOR MORE DETAILS	

Audit

Reject Hold Refer Cancel Approve

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Maturity Details - User can view the maturity details.
- Documents - User can view the document details.
- Draft Details - User can view the draft details.
- Additional Fields - User can view the details of additional fields.
- Advice Details - User can view the details of advices.
- Payment Details - User can view the payment details.
- Shipment Details - User can view the shipment details.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Tracers - User can view the tracer details.
- FX Linkage - User can view the details of FX Linkage.
- Settlement Details - User can view settlement details.
- Preview message - User can view the preview message.
- Compliance - User can view the compliance details.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Cancel	Cancel the approval.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

Reject Approval

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Import Documentary Collection Booking available in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The screen from which the reject was initiated can be seen highlighted in the tile view.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

Summary

The screen up to which data was captured before reject will be available for the user to view in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view details about application details and document under collection.
- Party Details - User can view party details like applicant, Remitting Bank etc.
- Document Details - User can view document details.
- Shipment Details - User can view shipment details.
- Charges - User can view charge details.
- Maturity Details - User can view the maturity details.
- Message Preview - User can view the preview of the simulating message to the remitting bank.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject Approve	On click of Reject Approve, the transaction is rejected.	
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.	
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.	
Cancel	Cancel the Reject Approval.	

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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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